

Massachusetts All-Payer Claims Database: Technical Assistance Group (TAG)

January 10, 2017



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Agenda

- Annual Premiums Data Request, Enrollment Trends and Medical Expenditure Trends Updates
- APCD Version 6.0 Submission Guides
- FileSecure (SENDS+ Replacement)
- Housekeeping Items
- Wrap Up

CHIA Reporting Updates: Annual Premiums Data Request, Enrollment Trends, and Medical Expenditure Trends

Ashley Storms | *Analytic Reporting Manager*

Dianna Welch | *Principal at Oliver Wyman*

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Annual Premiums Data Request

Annual Premiums Data Request

Overview

Proposed Format Changes

Proposed Content Changes

Timeline

Data Request Overview

Purpose

- To assess contract-membership coverage and cost trends in the Massachusetts commercial market

Data

- Aggregated member months, premiums, and claims data
- Breakouts by Funding Type, Market Sector, Product Type (HMO, PPO, POS, Other), and Benefit Design Type (HDHPs, Tiered Networks, Limited Networks)
- Covers previous three years (2014, 2015, 2016)

Old Submission Workbook Format

2016 Premiums Reporting Workbook_Option 1_021716.xlsx - Microsoft Excel

File Home Insert Page Layout Formulas Data Review View Developer

Clipboard Font Alignment Number Styles Cells Editing

AQS

1 **957 CMR 10.00: Health Care Payers Premiums and Claims Data Reporting Requirements**

2 **TAB B1: FULLY-INSURED INDIVIDUALS AND EMPLOYERS: Member Months By Market Sector, Product Type, Benefit Design Type, and Geographic Area**

3 **Due to Dianna Welch, Quality Checked, By: Tuesday, May 10th, 2016**

4 **Questions to: Dianna Welch at dianna.welch@oliverwyman.com or (414) 277-4657**

5

6 Please provide the annual Massachusetts member month information for fully-insured employers broken down by Geographic Area (3 digit zip), Product Type, Market Sector, and Benefit Design Type.

7 Total columns are calculated as the sum of the three Product Types and should represent the grand totals for the Market Sector.

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2013 Fully-Insured	Total							Product Type - HMO					Product Type - PPO										
	Total	Individual	Small Group (1-50)	Mid-Size Group (51-100)	Large Group (101-499)	Jumbo Group (500+)	GIC	Total	Individual	Small Group (1-50)	Mid-Size Group (51-100)	Large Group (101-499)	Jumbo Group (500+)	GIC	Total	Individual	Small Group (1-50)	Mid-Size Group (51-100)	Large Group (101-499)	Jumbo Group (500+)	GIC	Total	
010	0	0	0	0	0	0	0	0						0								0	
011	0	0	0	0	0	0	0	0						0								0	
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015	0	0	0	0	0	0	0	0						0								0	
016	0	0	0	0	0	0	0	0						0								0	
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024	0	0	0	0	0	0	0	0						0								0	
025	0	0	0	0	0	0	0	0						0								0	
026	0	0	0	0	0	0	0	0						0								0	
027	0	0	0	0	0	0	0	0						0								0	
028	0	0	0	0	0	0	0	0						0								0	
029	0	0	0	0	0	0	0	0						0								0	
030	0	0	0	0	0	0	0	0						0								0	
Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

2014 Fully-Insured	Total							Product Type - HMO					Product Type - PPO										
	Total	Individual	Small Group (1-50)	Mid-Size Group (51-100)	Large Group (101-499)	Jumbo Group (500+)	GIC	Total	Individual	Small Group (1-50)	Mid-Size Group (51-100)	Large Group (101-499)	Jumbo Group (500+)	GIC	Total	Individual	Small Group (1-50)	Mid-Size Group (51-100)	Large Group (101-499)	Jumbo Group (500+)	GIC	Total	
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015	0	0	0	0	0	0	0	0						0								0	
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024	0	0	0	0	0	0	0	0						0								0	
025	0	0	0	0	0	0	0	0						0								0	
026	0	0	0	0	0	0	0	0						0								0	
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Proposed Submission Workbook Format

2017 Premiums Reporting Workbook_DRAFT.xlsx - Microsoft Excel

File Home Insert Page Layout Formulas Data Review View Developer

Clipboard Font Alignment Number Styles Cells Editing

T7

1 **B1. Membership by Geography: Fully-Insured**

2 Submission Manual and FAQs available at: <http://www.chiamass.gov/information-for-data-submitters-premiums-data/>

3 Completed workbook to Dianna Welch at Dianna.Welch@OliverWyman.com by Friday, May 12th, 2017.

4 Legal Entity: [Tab A, Input Required]

5

6

							Employer-Sponsored Plans				Government Employee Plans	
FundingType	Year	3-Digit Zip	Product Type	Benefit Design Type	Data	Small Group	Mid-Size Group	Large Group	Jumbo Group	MA GIC	FEHBP	
Fully-Insured	2014	010	HMO	All	Input Required							
Fully-Insured	2014	011	HMO	All	Input Required							
Fully-Insured	2014	012	HMO	All	Input Required							
Fully-Insured	2014	013	HMO	All	Input Required							
Fully-Insured	2014	014	HMO	All	Input Required							
Fully-Insured	2014	015	HMO	All	Input Required							
Fully-Insured	2014	016	HMO	All	Input Required							
Fully-Insured	2014	017	HMO	All	Input Required							
Fully-Insured	2014	018	HMO	All	Input Required							
Fully-Insured	2014	019	HMO	All	Input Required							
Fully-Insured	2014	020	HMO	All	Input Required							
Fully-Insured	2014	021	HMO	All	Input Required							
Fully-Insured	2014	022	HMO	All	Input Required							
Fully-Insured	2014	023	HMO	All	Input Required							
Fully-Insured	2014	024	HMO	All	Input Required							
Fully-Insured	2014	025	HMO	All	Input Required							
Fully-Insured	2014	026	HMO	All	Input Required							
Fully-Insured	2014	027	HMO	All	Input Required							
Fully-Insured	2014	Other	HMO	All	Input Required							
Fully-Insured	2015	010	HMO	All	Input Required							
Fully-Insured	2015	011	HMO	All	Input Required							
Fully-Insured	2015	012	HMO	All	Input Required							
Fully-Insured	2015	013	HMO	All	Input Required							
Fully-Insured	2015	014	HMO	All	Input Required							

Introduction Specification & Overview A B1 B2 B3 B4 C1 C2 D E1 E2 F G



Proposed Format Changes

Streamlined “flatter file” workbook submission format

- Reduced opportunities for human error (copy/paste errors)
- Once implemented, will simplify data entry for submitters
- Data is more analytically ready, facilitating faster, more responsive analysis

Proposed Content Changes

Split former “Individual” market sector to report membership and financials for members receiving APTC and Cost-Sharing Reduction subsidies

- Direct Purchasers without subsidies [non-Student Health plans]
- Direct Purchasers with Advanced Premium Tax Credit (APTC) subsidy
- Direct Purchasers with APTC + Cost-Sharing Reduction (CSR) subsidies

Total	Direct Purchasers			Student Health	Employer-Sponsored Plans				Government Employee Plans	
	No Subsidy/ Unknown	APTC Subsidy Only	APTC + CSR Subsidies		Small Group	Mid-Size Group	Large Group	Jumbo Group	MA GIC	FEHBP

Proposed Content Changes

Report as distinct market sectors, as with Group Insurance Commission:

- Student Health Plans [reported under “Direct Purchasers”]
- Federal Employees Health Benefits Program (FEHBP) [reported under “Government Employee Plans”]

<u>Total</u>	Direct Purchasers			Employer-Sponsored Plans				Government Employee Plans		
	No Subsidy/ Unknown	APTC Subsidy Only	APTC + CSR Subsidies	Student Health	Small Group	Mid-Size Group	Large Group	Jumbo Group	MA GIC	FEHBP

Proposed Content Changes

Add Point-of-Service (POS) Plans as product type

- POS plans require members to coordinate care through a primary care provider and use in-network providers for the lowest cost-sharing. As with a PPO plan, out-of-network providers are covered, though at a higher cost to members.

Payer Comment Period

Drafts of the 2017 Annual Premiums Data Request Data Submission Manual and Data Submission Workbook will be distributed to payers within the next week.

Please submit any questions or comments to Ashley Storms at ashley.storms@state.ma.us by **January 31st**.

2017 Annual Premiums Request Timeline

Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017	May 2017	Jun. 2017	Jul. 2017
Draft request comment period						
	CHIA distributes 2017 Premiums Request					
				Submissions due		
					CHIA distributes 3R Addendum Request	
						3R Addendum submissions due

Enrollment Trends

Enrollment Trends Update

Thank you to all who reviewed MA APCD data for the upcoming Enrollment Trends report. Your support is critical for the reporting of accurate and timely enrollment data.

The next Enrollment Trends report will be released in **February 2017**.

Enrollment Trends Timeline

Oct. 2016	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017
Data collection, profiling, and analysis				
Sept. 2016 Member Eligibility file submission				
	Supplemental reports due (identified payers)			
		Payers review APCD enrollment counts		
			Reporting	

Medical Expenditure Trends

Medical Expenditure Trends Update

CHIA is beginning to compare payer-submitted Financial Control Totals to MA APCD membership and financial data for state fiscal year 2015.

We will be in touch with next steps over the coming months.

Contact Information

For Annual Premiums technical questions and data submission:

Contact Dianna Welch at dianna.welch@oliverwyman.com

**For other questions about Annual Premiums, Enrollment Trends, or
Medical Expenditure Trends:**

Contact your CHIA liaison and Ashley Storms at ashley.storms@state.ma.us

Intake APCD Version 6.0

MA APCD Intake Process	Intake 6.0 Timeline
Proposals Shared/Discussed with Carriers	December 2016/January 2017
New sftp testing	January 2017
Draft Submission Guides published	January 2017
Guides Reviewed at Technical Advisory Group	January 2017
Carrier Comment Period	January 2017
New sftp PROD	February 2017
Administrative Bulletin and Guides Adopted	January/February 2017
Development/Testing	February/June 2017
Carrier Testing – new guides and new transmission process	July 2017
Removal of Patient Identifying Information from CHIA internal data	July 2017
MA APCD Intake Version 6 Production	August 2017

APCD Version 6.0 Submission Guides

CHIA is proposing changes that will affect:

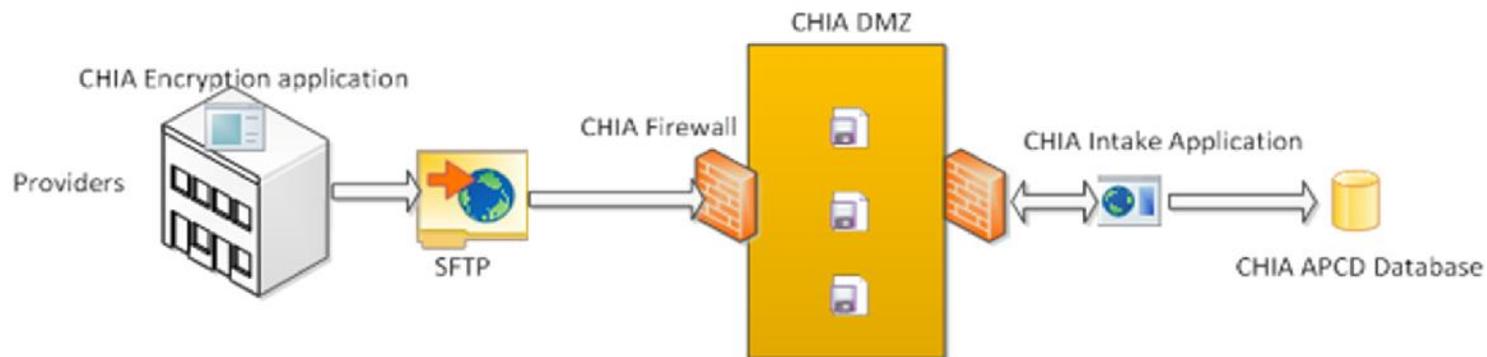
- What is submitted
- How it is submitted
- How it is protected
- How historical data will be handled
- How data linkage will be maintained
- How continuity across years will be maintained

APCD Version 6.0 Intake Changes

How it is submitted: SENDS+/INET Changes

CHIA will be using a new method of sending files which will replace SENDS+/INET. The new method will include a new web portal and be a standard SFTP client for data submitters.

The MA APCD files will be encrypted using AES-256 encryption.



APCD Version 6.0 Intake Changes

How it is protected: Hashing Member Eligibility Data

- FileSecure - mask member eligibility patient identifying information before transmission
- NIST certified SHA-3 hashing algorithm with a CHIA defined 128-bit SALT
- Unique encryption keys built inside the executable to encrypt the file before transmission
- Download from the web portal and will reside within the carrier's local PC or network
- Fields to be hashed include SSNs, DOB, member and subscriber names

Upcoming File Submission Deadlines

- Files (through December 2016) for the next Risk Adjustment simulation must be in and passed intake edits by 1/31/2017.
- Files (through March 2017) for the Risk Adjustment final settlement must be in and passed intake edits by 4/30/2017.
- V6 submission guides go into effect in August for July 2017 data and any resubmissions back to October 2013.

DOI Reporting from MA APCD

- 2016Q2 membership report: discussion with select carriers ongoing to address feedback/questions/issues.
- 2016Q3 membership reports sent to carriers in November and responses were due 1/6/17.
- Parallel submission period until both DOI and carrier agree to move to MA APCD-only membership reporting.

Next Meetings

February 14, 2017 @ 2:00 pm

March 14, 2017 @ 2:00 pm

Questions?